IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Rafael Andino, Christopher Brooks, and

Donald Van Royen

Application No. : Not yet assigned

Filed : July 15, 2003

For : APPARATUS AND METHODS FOR FACILITATING

WOUND HEALING

Group Art Unit : Not yet assigned

Examiner : Not yet assigned

Hon. Commissioner for Patents Post Office Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56 and 1.97, applicants wish to call the attention of the Examiner to the following documents:

| | <u>U.S. Patents</u> | |
|-----------------|---------------------|------------|
| Caesar | 2,099,511 | (11/16/37) |
| Horn | 3,918,459 | (11/11/75) |
| Ellis et al. | 3,964,477 | (06/22/76) |
| Ellis | 4,019,510 | (04/26/77) |
| Konikoff | 4,142,521 | (03/06/79) |
| Fairbanks | 4,233,965 | (11/18/80) |
| Donadelli | 4,312,340 | (01/26/82) |
| Greatbatch | 4,313,438 | (02/02/82) |
| Greatbatch | 4,314,554 | (02/09/82) |
| Wilson | 4,398,545 | (08/16/83) |
| Bryan | 4,509,535 | (04/09/85) |
| Brighton et al. | 4,535,775 | (08/20/85) |
| Maurer | 4,556,051 | (12/03/85) |
| Ibbott | 4,619,252 | (10/28/86) |
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| Fuxue et al. | 4,846,178 | (07/11/89) |
|--------------------|-----------|------------|
| Miller | 4,846,181 | (07/11/89) |
| Takeuchi et al. | 4,895,153 | (01/23/90) |
| Bartelt et al. | 4,895,154 | (01/23/90) |
| Jones | 4,911,688 | (03/27/90) |
| Takeuchi et al. | 4,922,906 | (05/08/90) |
| Claude | 4,982,742 | (01/08/91) |
| Keusch et al. | 4,989,607 | (02/05/91) |
| Rogozinski | 5,395,398 | (03/07/95) |
| D'Alerta | 5,423,874 | (06/13/95) |
| Zanakis et al. | 5,433,735 | (07/18/95) |
| Scherson et al. | 5,578,022 | (11/26/96) |
| Becker et al. | 5,814,094 | (09/29/98) |
| Rise | 5,853,424 | (12/29/98) |
| Shoemaker, II | 5,974,344 | (10/26/99) |
| Kozhemiakin et al. | 6,122,550 | (09/19/00) |
| Millot et al. | 6,411,853 | (06/25/02) |
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Foreign Patents

| PCT | WO 00/65993 | 11/09/00 |
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| EPO | EP 0 947 214 A3 | 10/06/99 |
| PCT | WO 98/26838 . | 06/25/98 |

Other Documents

Assimacopoulos, Dennis, "Low Intensity Negative Electric Current in the Treatment of Ulcers of the Leg Due to Chronic Venous Insufficiency," American Journal of Surgery: 115, 683-687 (1968).

Baker, Lucinda L., et al., "Effects of Electrical Stimulation on Wound Healing in Patients with Diabetic Ulcers," Diabetes Care: 20(3), 405-412 (1997).

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Gogia, Prem P., "Physical Therapy Modalities for Wound Management," Ostomy/Wound Management: 42(1), 46-54 (1996).

Itoh, Masayoshi, et al., "Accelerated Wound Healing of Pressure Ulcers by Pulsed High Peak Power Electromagnetic Energy (Diapulse)," Decubitus: 4(1), 24-34 (1991).

Kloth, Luther C., "Physical Modalities in Wound Management: UVC, Therapeutic Heating and Electrical Stimulation," Ostomy/Wound Management: 41(5), 18-27 (1995).

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Lee, Raphael C., et al., "A Review of the Biophysical for the Clinical Application of Electric Fields in Soft-Tissue Repair," Journal of Burn Care and Rehabilitation: 14(3), 319-335 (May/June 1993).

Lundeberg, Thomas C.M., et al., "Electrical Nerve Stimulation Improves Healing of Diabetic Ulcers," Annals of Plastic Surgery: 29(4), 328-331 (1992).

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Rowley, Blair A., et al., "The Use of Low Level Electrical Current for Enhancement of Tissue Healing," *Biomedical Sciences Instrumentation*: 1, 111-114 (1974).

Šemrov, Deján, et al., "DC Electrical Stimulation for Chronic Wound Healing Enhancement. Part 2. Parameter Determination by Numerical Modelling," Bioelectrochemistry and Bioenergetics: 43:271-277 (1997).

Stefanovska, A., et al., "Treatment of Chronic Wounds by means of Electric and Electromagnetic Fields - Part 2: Value of FES Parameters for Pressure Sore Treatment," Medical & Biological Engineering & Computing: 31(3), 213-220 (May 1993).

Vodovnik, L., et al., "Treatment of Chronic Wounds by Means of Electric and Electromagnetic Fields - Part 1: Literature Review," Medical & Biological Engineering & Computing: 30(3), 257-266 (May 1992).

Weiss, Darryl S., et al., "Electrical Stimulation and Wound Healing," Arch Dermatology: 126, 222-225 (Feb. 1990).

Wheeler, Paul C., et al., "Neural Considerations in the Healing of Ulcerated Tissue by Clinical Electrotherapeutic Application of Weak Direct Current: Findings and Theory," Neuroelectric Research: 9, 83-99 (1971).

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Yarkony, Gary M., "Pressure Ulcers: A Review," Archives of Physical Medicine and Rehabilitation: 75(8), 908-917 (1994).

These documents are listed on the accompanying Form PTO-1449 (submitted in duplicate) and copies are enclosed herewith.

Consideration of the foregoing in relation to this patent application is respectfully requested.

Respectfully submitted,

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Reg. No. 46,109

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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT BY APPLICANTS

| ATTY. | DOCKET | NO. |
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| BIO-00 | 1 DIV | |

APPLICATION NO. To be assigned

APPLICANTS
Rafael Andino et al.

FILING DATE July 15, 2003 **GROUP**

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|--------------------|----------|-----------------|-------|--------------|----------------------------------|
| | 2,099,511 | 11/16/37 | Caesar | 188 | 408 | |
| | 3,918,459 | 11/11/75 | Horn | 128 | 419R | |
| | 3,964,477 | 06/22/76 | Ellis et al. | 128 | 172.1 | |
| | 4,019,510 | 04/26/77 | Ellis | 128 | 172.1 | |
| | 4,142,521 | 03/06/79 | Konikoff | 128 | 82.1 | |
| | 4,233,965 | 11/18/80 | Fairbanks | 128 | 1.5 | |
| | 4,312,340 | 01/26/82 | Donadelli | 128 | 207.21 | |
| | 4,313,438 | 02/02/82 | Greatbatch | 128 | 207.21 | |
| | 4,314,554 | 02/09/82 | Greatbatch | 128 | 207.21 | |
| | 4,398,545 | 08/16/83 | Wilson | 128 | 798 | |
| | 4,509,535 | 04/09/85 | Bryan | 128 | 798 | |
| | 4,535,775 | 08/20/85 | Brighton et al. | 128 | 419 | |
| | 4,556,051 | 12/03/85 | Maurer | 128 | 1.5 | |
| | 4,619,252 | 10/28/86 | Ibbott | 128 | 82.1 | |
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| | 4,895,154 | 01/23/90 | Bartelt et al. | 128 | 421 | |
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| | 4,982,742 | 01/08/91 | Claude | 128 | 798 | |
| , | 4,989,607 | 02/05/91 | Keusch et al. | . 128 | 640 | |
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| | 5,423,874 | 06/13/95 | D'Alerta | 607 | 72 | |
| | 5,433,735 | 07/18/95 | Zanakis et al. | 607 | 50 | |
| | 5,578,022 | 11/26/96 | Scherson et al. | 604 | 304 | |
| | 5,814,094 | 09/29/98 | Becker et al. | 607 | 50 | |
| | 5,853,424 | 12/29/98 | Rise | 607 | 117 | , |

Express Mail Label No. EV 132183149 US

EXAMINER

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| FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT BY APPLICANTS | | | | ATTY. D BIO-001 | OCKET N DIV. | 0. | | CATION NO. assigned |
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| | | | | APPLICANTS Rafael Andino et al. | | , | | |
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| 5,974 | 344 | 10/26/99 | Shoemaker, II | | 607 | 149 | | |
| 6,122 | 550 | 09/19/00 | Kozhemiakin et al. | <u> </u> | 607 | 101 | | |
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FOREIGN PATENT DOCUMENTS

| EXAMINER | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION | |
|-----------|-----------------|----------|---------|-------|----------|-------------|------|
| INITIAL . | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | | YES | NO · |
| | WO 00/65993 | 11/09/00 | PCT | | | | , |
| | EP 0 947 214 A2 | 10/06/99 | EPO | | | | |
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| | Assimacopoulos, Dennis, "Low Intensity Negative Electric Current in the Treatment of Ulcers of the Leg Due to Chronic Venous Insufficiency," <i>American Journal of Surgery</i> : 115, 683-687 (1968). |
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| | Carley, Patrick J., et al., "Electrotherapy for Acceleration of Wound Healing: Low Intensity Direct Current," <i>Arch. Phys. Med. Rehab.</i> : 66, 443-446 (1985). |
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| | Dayton, Paul D., et al., "Electrical Stimulation of Cutaneous Ulcerations A Literature Review," <i>Journal of the American Podiatric Medical Association:</i> 79(7), 318-321 (Jul. 1989). |
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANTS | | APPLICANTS Rafael Andino et al. | |
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Computing: 31(3), 213-220 (May 1993).

Stefanovska, A., et al., "Treatment of Chronic Wounds by means of Electric and Electromagnetic Fields - Part 2: Value of FES Parameters for Pressure Sore Treatment," *Medical & Biological Engineering &*

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